

# **FORM PTO-1082** TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **BOX APPLICATIONS** 

Re:

New U.S. Patent Application

For:

RECORDING MEDIUM AND SIGNAL

PROCESSING APPARATUS

Inventor(s):

Yoshiaki TANAKA

Shoii UENO

Norihiko FUCHIGAMI

Attorney Docket: 0102/0240

Sir:

Attached hereto is the application identified above, including 169 pages of textual specification including 39 claims, and 84 sheets of drawings.

- [XX] This application is a divisional of U.S. Patent Application No. 09/195,100, filed November 18, 1998.
- [XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

|                                                               | (Col 1)   |       | (Col 2) | (Col 3)  | SMALL ENTITY |     |    | NON-SMALL ENTITY |       |
|---------------------------------------------------------------|-----------|-------|---------|----------|--------------|-----|----|------------------|-------|
|                                                               | NO. FILED |       |         | NO EXTRA | RATE         | FEE | OR | RATE             | FEE   |
| TOTAL                                                         | 4         | minus | 20      |          | x9=          | 0   |    | x18=             | \$    |
| INDEP                                                         | 4         | minus | 3       | 1        | x43=         | 0   |    | x86=             | \$86  |
| _ First Presentation, Multiple Dependent Claims               |           |       |         |          | +145=        | 0   |    | +290=            | \$    |
| Base Filling Fee                                              |           |       |         |          |              | \$  |    |                  | \$770 |
| TOTAL FILING FEE* (acc unting f rp ssible small ntity status) |           |       |         |          |              | \$  | OR | TOTAL            | \$856 |

CUSTOMER NO. 21395 Atty Docket: 0102/0240

| []   | *Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:                                                                                                                                                                                         |  |  |  |  |  |  |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|
|      | <ul><li>[ ] is filed herewith;</li><li>[ ] will be filed at a later date;</li><li>[ ] was filed in the parent application.</li></ul>                                                                                                                                                               |  |  |  |  |  |  |
| [XX] | Foreign priority is claimed under 35 U.S.C. § 119 from the following:                                                                                                                                                                                                                              |  |  |  |  |  |  |
|      | Japanese Patent Application No. 9-337700 filed November 21, 1997<br>Japanese Patent Application No. 9-343916 filed November 28, 1997<br>Japanese Patent Application No. 10-191040 filed June 22, 1998 (not claimed)                                                                                |  |  |  |  |  |  |
|      | [Certified copies of the priority applications were submitted in parent application No. 09/195,100 on December 4, 1998]                                                                                                                                                                            |  |  |  |  |  |  |
| [XX] | A copy of the Declaration/Power of Attorney submitted in the parent '100 application is submitted herewith.                                                                                                                                                                                        |  |  |  |  |  |  |
| []   | Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).                                                                                                                                              |  |  |  |  |  |  |
| [XX] | Formal drawing(s) is/are attached.                                                                                                                                                                                                                                                                 |  |  |  |  |  |  |
| []   | Formal drawing(s) will be submitted at a later date.                                                                                                                                                                                                                                               |  |  |  |  |  |  |
| [XX] | An Information Disclosure Statement, PTO-1449 citing the references are submitted.                                                                                                                                                                                                                 |  |  |  |  |  |  |
| [XX] | The Assignment document of the '100 parent application was recorded on November 18, 1999 on Reel 09628, Frame 0751.                                                                                                                                                                                |  |  |  |  |  |  |
| [XX] | The Commissioner is hereby authorized to charge the amount of \$856.00 for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A |  |  |  |  |  |  |

duplicate copy of this form is attached.

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- [ ] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during* the entire pendency of the present application, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to <u>Louis Woo</u> at **Customer No. 021395** whose address is:

Law Office of Louis Woo 717 North Fayette Street Alexandria, Virginia 22314 (703) 299-4090

Respectfully submitted,

Louis Woo, Reg. No. 31,730

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Date: Jan 30 2014

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki TANAKA et al.

Serial No.

Art Unit:

Filed:

Examiner:

Divisional of 09/195,100

filed November 18, 1998

Atty Docket: 0102/040

For: RECORDING MEDIUM AND

SIGNAL PROCESSING

**APPARATUS** 

### **CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION**

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please send all correspondence for this application to the following address:

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Please direct telephone calls to:

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Respectfully submitted,

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Date: Jan 30 204

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**APPARATUS** 

## **SUBMISSION OF FORMAL DRAWINGS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith are eighty-four (84) sheets of formal drawings containing Figs. 1-111 relating to the above-identified application.

Respectfully submitted,

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Date: Van 30 2